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Practitioner's Docket No. 2003DE127

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin Schmidt, et al.

Application No.: 10/568,716

Group No.:

Filed: February 17, 2006

For: Mixed Crystals Comprising C.I. Pigment Red 170 Derivatives

Commissioner for Patent

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO/SB/08A.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



Anthony A. Bisulca
Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, North Carolina 28205
Telephone: 704/331-7151
Facsimile: 704/331-7707



Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/568,716
Filing Date	February 17, 2006
First Named Inventor	Martin Schmidt, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2003DE127

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	3,928,315	12/23/1975	Ribka	
	AB	6,469,147	10/22/2002	Nickel et al.	
	AC	20010029294	10/11/2001	Nickel et al.	
	AD	20030083410	11/11/2006	Baur et al.	
	AE	7,135,266	11/14/2006	Baur et al.	
	AF	6,,191,263	2/20/2001	Schmidt et al.	
	AG	6,146,455	11/14/2000	Schmidt et al.	
	AH	6,228,162	5/8/2001	Schmidt	
	AI	6,913,641	7/5/2005	Metz et al.	
	AJ				
	AK				
	AL				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	BA	DE 1228731	11/17/1966	Germany	See BE below	<input type="checkbox"/>
	BB	DE 2043482	3/16/1972	Germany	See AA above	<input type="checkbox"/>
	BC	DE 10224279	12/11/2003	Germany	English Abstract	<input type="checkbox"/>
	BD	EP 1257602	11/20/2002	EPO	See AB-AE above	<input type="checkbox"/>
	BE	GB 1033917	6/22/1966	Great Britian	English	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/568,716
				Filing Date	February 17, 2006
				First Named Inventor	Martin Schmidt, et al.
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2003DE127

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	PCT International Search Report for PCT/EP2004/008724, mailed 11/22/2004	<input type="checkbox"/>
	CB	English Translation of PCT International Preliminary Examination Report for PCT/EP2004/008724, mailed 8/4/2004	<input type="checkbox"/>
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	CG		<input type="checkbox"/>
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